Electronic Acknowledgement Receipt					
EFS ID:	9106031				
Application Number:	10783655				
International Application Number:					
Confirmation Number:	7256				
Title of Invention:	Securities and transaction thereof				
First Named Inventor/Applicant Name:	Jeffrey Yass				
Correspondence Address:	Gregory J. Lavorgna Drinker Biddle & Reath LLP One Logan Square 18th & Cherry Streets Philadelphia PA 19103-6996 US 2159883309				
Filer:	Henry Nicholas Blanco White/Katrina D'Oliveira				
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## File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
Amendment Copy Claims/Response to Suggested Claims	Response 122310.pdf	129065	no	14	
		5cc715405ce64ccca74c01c634ae52e4db8 df1ce			
Warnings:					
Information:					
2 Extension of Time	Extension of time 122310.pdf	21462	no	1	
		37e316f029996dae62c1d2faa20a88cff8d4e 8e5			
Warnings:					
Information:					
3 Fee Worksheet (PTO-875)	fee-info.pdf	30324	no	2	
		4d91cb0c4d847b8fc9bbd9cf52b9ef15fb4d 852a			
Warnings:					
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		Total Files Size (in bytes)	18	30851	

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#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.